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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/780,504	02/12/2001	Magnus Nilsson	003300-743	7099

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EXAMINER

MCCLELLAN, JAMES S

ART UNIT

PAPER NUMBER

3627

DATE MAILED: 08/21/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

09/780,504

Applicant(s)

NILSSON, MAGNUS

Examiner

James S McClellan

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 12 February 2001.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-20 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

### Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

### Attachment(s)

- ☒ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_
- ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_
- ☐ Notice of Informal Patent Application (PTO-152)
- ☐ Other:

## DETAILED ACTION

### *Preliminary Amendment*

1. Applicant's submittal of a preliminary amendment was entered on 2/12/01, wherein:
  - claims 1-20 are pending;
  - claims 3, 6, and 7 have been amended; and
  - claims 10-20 have been added.

### *Specification*

2. The disclosure is objected to because of the following informalities:
  - on page 6, line 9, insert --6-- after "database" because the reference number is missing from the specification and
  - on page 7, line 24, "7" should be replaced with --S7-- to correspond with the reference number in Figure 2.

Appropriate correction is required.

### *Claim Objections*

3. Claims 1, 6, 9, and 13-16 are objected to because of the following informalities: **claim 1** and **claim 9** (see line 6) include the limitation "an wide area network such as the Internet", wherein clarification of scope is required. Does Applicant intend to claim merely a wide area network or specifically the Internet? Additionally, "an wide area network" should be replaced with --a wide area network--. Regarding **claims 6 and 13-16**, the scope of the claim requires clarification for the use of the limitation "such as" (see the limitation "a network accessible

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document, such as a hypertext document"). Does Applicant intend to claim merely a network accessible document or specifically a hypertext document? Appropriate correction is required.

***Claim Rejections - 35 USC § 112***

4. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

5. Claims 1-8 and 10-20 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

**Claim 1** recites the limitation "the data" in line 11. There is insufficient antecedent basis for this limitation in the claim.

***Claim Rejections - 35 USC § 102***

6. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

7. Claims 1-20 are rejected under 35 U.S.C. 102(e) as being anticipated by US Patent

Publication No. US 2001/0027420 A1 (Boublik et al.).

Regarding **claim 1**, Boublik et al. discloses a method for at least partly automated bookkeeping (see paragraph 0007 on page 1, “transaction data automatically entered into a user’s financial application), comprising: providing a server (see paragraph 0031 on page 2, “a computerized system comprising a server side component and a client side component”) with a banking service application and an account service application, said server being accessible to at least one client (see ¶ 0031) through wide area network (see Figure 1, “Internet”), wherein said account service application is connected to a book-keeping database (see ¶ 0058 on page 3), receiving to said server data representing instructions for at least one transaction (see ¶ 0050 on pages 2-3, “data of a transaction of interest”), using data in the banking service application for conducting the transaction, using at least part of said data as accounting information in the account service application for updating said bookkeeping database (see ¶ 0058); **[claim 2]** the transaction is a payment transaction (see ¶ 0052 on page 3, “capture online buying transactions”); **[claim 3]** the received data further comprises account data connected to the transaction instruction data (see ¶ 0060); **[claim 4]** the account data comprises account information (see ¶ 0060); **[claim 5]** the account data comprises information of value-added tax related to the transaction (it is inherent that tax-related data is included in accounting applications); **[claim 6]** transmitting a network accessible document (see ¶ 0058, “the data is transmitted as a secure XML document”) in response to a request transmitted by the client to said server, said document comprising entering fields for formalized input of information of a user on the client side (see ¶ 0053-0056); **[claim 7]** searching the bookkeeping database for previously entered accounting data (see ¶ 0054, “checks if a template exists”) items similar to the received data regarding at least one predetermined parameter, and if such similar accounting data item are

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found, suggest additional accounting data to be stored in the bookkeeping database in connection with the newly received accounting information in accordance with information in the found accounting data items (see ¶ 0058); **[claim 8]** the predetermined parameter is the identity of the payment receiver (searching the database for the identity of the payment receiver is inherent, see ¶ 0052, “a particular URL”, wherein a URL is associated with the identity of the payment receiver). **Claims 10-20** include limitations that are identical to claims 1-8 analyzed above in detail.

Regarding **claim 9**, Boublik et al. discloses a system for at least partly automated bookkeeping (see paragraph 0007 on page 1, “transaction data automatically entered into a user’s financial application), comprising: a server (see paragraph 0031 on page 2, “a computerized system comprising a server side component and a client side component”), said server comprising a banking service application and an account service application, wherein the server is accessible to at least one client through a wide area network (see Figure 1, “Internet”), a bookkeeping database (see ¶ 0058 on page 3) connected to said account service application, wherein said server is adapted to use data representing instructions for at least one transaction received through said network from said client in the banking service application for conducting the transaction (see ¶ 0050 on pages 2-3, “data of a transaction of interest”), and further to use at least part of said data as accounting information in the account service application for updating said bookkeeping database (see ¶ 0058).

*Conclusion*

8. The prior art made of record and not relied upon is considered pertinent to Applicant's disclosure.

Lerner is cited of interest for disclosing a bookkeeping and accounting system.

Sampson is cited of interest for disclosing an apparatus for performing bookkeeping.

Reber et al. is cited of interest for disclosing an accounting system using transaction information.

Suh '173 is cited of interest for disclosing a method for processing business activity financial data.

Suh '545 is cited of interest for disclosing an activity information accounting method and system.

Schummer is cited of interest for disclosing a n internet communications and e-commerce platform.

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jim McClellan whose telephone number is (703) 305-0212. The examiner can normally be reached on Monday-Friday from 9:30 to 6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Robert Olszewski, can be reached at (703) 308-5183.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-1113.

Any response to this action should be mailed to:

Commissioner of Patent and Trademarks  
Washington D.C. 20231

Application/Control Number: 09/780,504

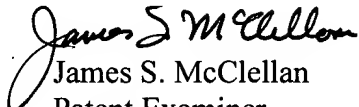
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or faxed to:

(703) 305-7687 (Official communications) or  
(703) 746-3516 (Informal/Draft communications).

Hand delivered responses should be brought to Crystal Park 5, 2451 Crystal Drive,  
Arlington, VA, 7<sup>th</sup> floor receptionist.

  
James S. McClellan  
Patent Examiner  
A.U. 3627

jsm  
August 13, 2003